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| J03911 U.S. P.O. 12/04/01 | UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. 09792909-5284 |
| | | | First Named Inventor or Application Identifier Sakuya Tamada |
| | | | Express Mail Label No: EL834556939US |
| | Only for non-provisional applications under 37 CFR 1.53(b) | | |
| ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | | | |

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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ACCOMPANYING APPLICATION PARTS |
| 1. <input checked="" type="checkbox"/> Specification Total Pages <u>26</u> 2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) Total Pages <u>8</u> 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney Total Pages <u>2</u> a. <input checked="" type="checkbox"/> Unexecuted(original or copy) b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d)) (for continuation/divisional with Box 14 completed) [Note Box 4 Below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 40 <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | 5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) 6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c). 7. <input type="checkbox"/> English Translation Document to follow (if applicable) 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 9. <input checked="" type="checkbox"/> Preliminary Amendment 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Status still proper and desired 12. <input checked="" type="checkbox"/> Certified copy of Japanese priority document No. P2000-372089 filed December 6, 2000. |

 14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

| | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE |
|--------------------|---|------------------|------------------|----------|---------------|
| TOTAL CLAIMS | | 2 | | \$18.00 | 0.00 |
| INDEPENDENT CLAIMS | | 1 | | \$4.00 | 0.00 |
| | ANY MULTIPLE DEPENDENT CLAIMS? | | | 280.00 | 0.00 |
| | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | | | | |
| | | | TOTAL FEE | \$740.00 | |

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.

 15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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Date: December 4, 2001

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December 4, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"OPTICAL DISC"
Applicant(s) Sakuya Tamada, et al.
Attorney Docket No. 09792909-5284

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 2 claims (1 independent), 8 sheets of drawings (Figs. 1-11) and the filing fee of \$740.00 on behalf of

SAKUYA TAMADA and HIDETOSHI WATANABE

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:



David R. Metzger

DVM:kat
Enclosures

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Date of Deposit: December 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Applicant(s): Sakuya Tamada and Hidetoshi Watanabe

Attorney Docket No. 09792909-5284

\$740.00 filing fee (2 claims - 1 independent)

Drawings (8 sheets - Figs. 1-11)

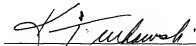
Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority application No. P2000-372089

Return postcard



Signature of Person Mailing Application and Fees

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